## ECE 515 Information Theory

## Distortionless Source Coding 1

## Source Coding



## Source Coding

Two requirements

1. The source sequence can be recovered from the encoded sequence with no ambiguity.
2. The average number of output symbols per source symbol is as small as possible.

## Variable Length Codes



## Variable Length Codes



## Variable Length Codes

- Let $K=4, X=\left\{x_{1}, x_{2}, x_{3}, x_{4}\right\}, J=2$
- Prefix code (also prefix-free or instantaneous)

$$
C_{1}=\{0,10,110,111\}
$$

- Example sequence of codewords: 001110100110
- Decodes to:

$$
\begin{array}{ccccccc}
0 & 0 & 111 & 0 & 10 & 0 & 110 \\
x_{1} & x_{1} & x_{4} & x_{1} & x_{2} & x_{1} & x_{3}
\end{array}
$$

## Instantaneous Codes

- Definition:

A uniquely decodable code is said to be instantaneous if it is possible to decode each codeword in a sequence without reference to succeeding codewords.

A necessary and sufficient condition for a code to be instantaneous is that no codeword is a prefix of some other codeword.

## Variable Length Codes

- Uniquely decodable code (which is not prefix)

$$
C_{2}=\{0,01,011,0111\}
$$

- Example sequence of codewords: 001110100110
- Decodes to:

$$
\begin{array}{lllllll}
0 & 0111 & 01 & 0 & 011 & 0 \\
x_{1} & x_{4} & x_{2} & x_{1} & x_{3} & x_{1}
\end{array}
$$

## Variable Length Codes

- Non-singular code (which is not uniquely decodable)

$$
C_{3}=\{0,1,00,11\}
$$

- Example sequence of codewords:

001110100110

- Decodes to:

$$
\begin{aligned}
& 001110100110 \\
& x_{1} x_{1} x_{2} x_{2} x_{2} x_{1} x_{2} x_{1} x_{1} x_{2} x_{2} x_{1} \\
& 001110100110
\end{aligned}
$$

## Variable Length Codes

- Singular code

$$
C_{4}=\{0,10,11,10\}
$$

- Example sequence of codewords: 001110100110
- Decodes to:

$$
\begin{array}{llllllll}
0 & 0 & 11 & 10 & 10 & 0 & 11 & 0 \\
x_{1} & x_{1} & x_{3} & x_{2} & x_{2} & x_{1} & x_{3} & x_{1} \\
x_{1} & x_{1} & x_{3} & x_{4} & x_{2} & x_{1} & x_{3} & x_{1}
\end{array}
$$

## Variable Length Codes



## Variable Length Codes

Source
Symbol

Codeword
Codeword Length

## Average Codeword Length

$$
\left.\mathrm{L}(\mathrm{C})=\sum_{k=1}^{K} \mathrm{p}\left(x_{k}\right)\right)_{k}
$$

## Two Binary Prefix Codes

- Five source symbols: $x_{1}, x_{2}, x_{3}, x_{4}, x_{5}$
- $K=5, J=2$
- $c_{1}=0, c_{2}=10, c_{3}=110, c_{4}=1110, c_{5}=1111$
- codeword lengths 1,2,3,4,4
- $\mathrm{c}_{1}=00, \mathrm{c}_{2}=01, \mathrm{c}_{3}=10, \mathrm{c}_{4}=110, \mathrm{c}_{5}=111$
- codeword lengths 2,2,2,3,3


## Kraft Inequality for Prefix Codes



## Code Tree



## Five Binary Codes

| Source <br> symbols | Code A | Code B | Code C | Code D | Code E |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $x_{1}$ | 00 | 0 | 0 | 0 | 0 |
| $x_{2}$ | 01 | 100 | 10 | 100 | 10 |
| $x_{3}$ | 10 | 110 | 110 | 110 | 110 |
| $x_{4}$ | 11 | 111 | 111 | 11 | 11 |

## Ternary Code Example

- Ten source symbols: $x_{1}, x_{2}, \ldots, x_{9}, x_{10}$
- $K=10, J=3$
- $I_{k}=1,2,2,2,2,2,3,3,3,3$
- $I_{k}=1,2,2,2,2,2,3,3,3$
- $I_{k}=1,2,2,2,2,2,3,3,4,4$


## Average Codeword Length Bound

$$
\mathrm{L}(\mathrm{C}) \geq \frac{\mathrm{H}(\mathrm{X})}{\log _{b} J}
$$



## Four Symbol Source



- $\mathrm{p}\left(x_{1}\right)=1 / 2 \mathrm{p}\left(x_{2}\right)=1 / 4 \mathrm{p}\left(x_{3}\right)=\mathrm{p}\left(x_{4}\right)=1 / 8$
- $H(X)=1.75$ bits
$x_{1} 0$
$x_{1} 00$
$x_{2} 10$
$x_{2} 01$
$x_{3} 110$
$x_{3} 10$
$x_{4} 111$
$x_{4} 11$
$L(C)=1.75$ bits
$\mathrm{L}(\mathrm{C})=2$ bits


## Code Efficiency

$$
\zeta=\frac{\mathrm{H}(\mathrm{X})}{\mathrm{L}(\mathrm{C}) \log _{b} J} \leq 1
$$

- First code $\zeta=1.75 / 1.75=100 \%$
- Second code $\zeta=1.75 / 2.0=87.5 \%$


## Compact Codes

A code $C$ is called compact for a source $X$ if its average codeword length $L(C)$ is less than or equal to the average length of all other uniquely decodable codes for the same source and alphabet $Y$ size $J$.

## Codeword Lengths

$$
\begin{aligned}
& H(X)=-\sum_{k=1}^{K} p\left(x_{k}\right) \log p\left(x_{k}\right) \\
& L(C)=\sum_{k=1}^{K} p\left(x_{k}\right) l_{k}
\end{aligned}
$$

## Upper and Lower Bounds for a Compact Code

$$
\frac{\mathrm{H}(\mathrm{X})}{\log _{b} J} \leq \mathrm{L}(\mathrm{C})<\frac{\mathrm{H}(\mathrm{X})}{\log _{b} J}+1
$$

if $b=J$

$$
H(X) \leq L(C)<H(X)+1
$$

## The Shannon Algorithm

- Order the symbols from largest to smallest probability
- Choose the codeword lengths according to

$$
I_{k}=\left\lceil-\log , \mathrm{p}\left(x_{k}\right)\right\rceil
$$

- Construct the codewords according to the cumulative probability $P_{k}$

$$
P_{k}=\sum_{i=1}^{k-1} \mathrm{p}\left(x_{i}\right)
$$

expressed as a base J number

## Example

- $K=10, J=2$
- $\mathrm{p}\left(x_{1}\right)=\mathrm{p}\left(x_{2}\right)=1 / 4$
- $\mathrm{p}\left(x_{3}\right)=\mathrm{p}\left(x_{4}\right)=1 / 8$
- $\mathrm{p}\left(x_{5}\right)=\mathrm{p}\left(x_{6}\right)=1 / 16$
- $\mathrm{p}\left(x_{7}\right)=\mathrm{p}\left(x_{8}\right)=\mathrm{p}\left(x_{9}\right)=\mathrm{p}\left(x_{10}\right)=1 / 32$


## Converting Decimal Fractions to Binary

- To convert a fraction to binary, multiply it by 2
- If the integer part is 1 , the binary digit is 1 , otherwise it is 0
- Delete the integer part
- Continue multiplying by 2 and obtaining binary digits until the resulting fractional part is 0 or the required number of binary digits have been obtained


## Example

- Convert $5 / 8=0.625_{10}$ to binary
- $2 \times 0.625=1.25=1+0.25$
- $2 \times 0.250=0.50=0+0.50$
- $2 \times 0.500=1.00=1+0.00$ LSB
- $0.625_{10}=0.101_{2}$


## Example

| Symbol | $p\left(x_{k}\right)$ | $P_{k}$ | $l_{k}$ | Codeword |
| :---: | :---: | :---: | :--- | :--- |
| $x_{1}$ | $1 / 4$ | 0 | 2 | 00 |
| $x_{2}$ | $1 / 4$ | $1 / 4$ | 2 | 01 |
| $x_{3}$ | $1 / 8$ | $1 / 2$ | 3 | 100 |
| $x_{4}$ | $1 / 8$ | $5 / 8$ | 3 | 101 |
| $x_{5}$ | $1 / 16$ | $3 / 4$ | 4 | 1100 |
| $x_{6}$ | $1 / 16$ | $13 / 16$ | 4 | 1101 |
| $x_{7}$ | $1 / 32$ | $7 / 8$ | 5 | 11100 |
| $x_{8}$ | $1 / 32$ | $29 / 32$ | 5 | 11101 |
| $x_{9}$ | $1 / 32$ | $15 / 16$ | 5 | 11110 |
| $x_{10}$ | $1 / 32$ | $31 / 32$ | 5 | 11111 |

## Shannon Algorithm

- $\mathrm{p}\left(x_{1}\right)=.4 \mathrm{p}\left(x_{2}\right)=.3 \mathrm{p}\left(x_{3}\right)=.2 \mathrm{p}\left(x_{4}\right)=.1$
- $H(X)=1.85$ bits

Shannon Code
$x_{1} 00$
$x_{2} 01$
$x_{3} 101$
$x_{4} 1110$
$\mathrm{L}(\mathrm{C})=2.4$ bits
$\zeta=77.1 \%$

Alternate Code
$x_{1} 0$
$x_{2} 10$
$x_{3} 110$
$x_{4} 111$
$\mathrm{L}(\mathrm{C})=1.9$ bits
$\zeta=97.4 \%$

## Shannon's Noiseless Coding Theorem

$$
\begin{aligned}
& \frac{N H(X)}{\log _{b} J} \leq \mathrm{L}_{N}(\mathrm{C})<\frac{N \mathrm{H}(\mathrm{X})}{\log _{b} J}+1 \\
& \frac{\mathrm{H}(\mathrm{X})}{\log _{b} J} \leq \frac{\mathrm{L}_{N}(\mathrm{C})}{N}<\frac{\mathrm{H}(\mathrm{X})}{\log _{b} J}+\frac{1}{N}
\end{aligned}
$$

## Shannon's Noiseless Coding Theorem

$$
\begin{aligned}
& \text { If } b=J \\
& \qquad N H(\mathrm{X}) \leq \mathrm{L}_{N}(\mathrm{C})<N H(\mathrm{X})+1
\end{aligned}
$$

$$
H(X) \leq \frac{\mathrm{L}_{N}(\mathrm{C})}{N}<\mathrm{H}(\mathrm{X})+\frac{1}{N}
$$

## Robert M. Fano (1917-2016)



## The Fano Algorithm

- Arrange the symbols in order of decreasing probability
- Divide the symbols into J approximately equally probable groups
- Each group receives one of the $J$ code symbols as the first codeword symbol
- This division process is repeated within the groups as many times as possible


## Example

| Symbol | $p\left(x_{k}\right)$ |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :--- | :--- |
| $x_{1}$ | $1 / 4$ | 0 | 0 |  |  |  |  |
| $x_{2}$ | $1 / 4$ | 0 | 1 |  |  |  |  |
|  |  | $1 / 8$ | 1 | 0 | 0 |  |  |
| $x_{3}$ | $1 / 8$ | 1 | 0 | 1 |  |  |  |
| $x_{5}$ | $1 / 16$ | 1 | 1 | 0 | 0 |  |  |
| $x_{6}$ | $1 / 16$ | 1 | 1 | 0 | 1 |  |  |
| $x_{7}$ | $1 / 32$ | 1 | 1 | 1 | 0 | 0 |  |
| $x_{8}$ | $1 / 32$ | 1 | 1 | 1 | 0 | 1 |  |
| $x_{9}$ | $1 / 32$ | 1 | 1 | 1 | 1 | 0 |  |
| $x_{10}$ | $1 / 32$ | 1 | 1 | 1 | 1 | 1 |  |

## Shannon Algorithm vs Fano Algorithm

- $\mathrm{p}\left(x_{1}\right)=.4 \mathrm{p}\left(x_{2}\right)=.3 \mathrm{p}\left(x_{3}\right)=.2 \mathrm{p}\left(x_{4}\right)=.1$
- $\mathrm{H}(\mathrm{X})=1.85$ bits

Shannon Code
$x_{1} 00$
$x_{2} 01$
$x_{3} 101$
$x_{4} 1110$
$\mathrm{L}(\mathrm{C})=2.4$ bits
$\zeta=77.1 \%$
$\zeta=97.4 \%$

## Upper Bound for the Fano Code $J \in\{2,3\}$

$$
\mathrm{L}(\mathrm{C}) \leq \frac{\mathrm{H}(\mathrm{X})}{\log _{b} J}+1-p_{\text {min }}
$$

where $p_{\text {min }}$ is the smallest nonzero symbol probability
if $b=J$

$$
\mathrm{L}(\mathrm{C}) \leq \mathrm{H}(\mathrm{X})+1-p_{\min }
$$

## David A. Huffman (1925-1999)



- "It was the most singular moment in my life. There was the absolute lightning of sudden realization."
- David Huffman
- "Is that all there is to it!"
- Robert Fano


## The Binary Huffman Algorithm

1. Arrange the $K$ symbols of the source $X$ in order of decreasing probability.
2. Assign a 1 to the last digit of the $K$ th codeword $\mathrm{c}_{K}$ and a 0 to the last digit of the ( $K-1$ )th codeword $\mathrm{c}_{K-1}$. Note that this assignment is arbitrary.
3. Form a new source $X^{\prime}$ with $x^{\prime}{ }_{k}=x_{k}, k=1, \ldots, k-2$, and

$$
x_{K-1}^{\prime}=x_{K-1} \cup x_{K} \quad \mathrm{p}\left(x_{k-1}^{\prime}\right)^{K}=p\left(x_{K-1}\right)+p\left(x_{K}\right)
$$

4. Set $K=K-1$.
5. Repeat Steps 1 to 4 until all symbols have been combined.

To obtain the codewords, trace back to the original symbols.

## Five Symbol Source

- $\mathrm{p}\left(x_{1}\right)=.35 \mathrm{p}\left(x_{2}\right)=.22 \mathrm{p}\left(x_{3}\right)=.18 \mathrm{p}\left(x_{4}\right)=.15 \mathrm{p}\left(x_{5}\right)=.10$
- $\mathrm{H}(\mathrm{X})=2.2$ bits

$$
\begin{aligned}
& \begin{array}{l}
p\left(x_{1}\right)=0.35 \longrightarrow 0.35 \longrightarrow 0.35 \\
p\left(x_{2}\right)=0.22 \longrightarrow 0 . \\
p\left(x_{3}\right)=0.18 \longrightarrow 0.22 \\
p\left(x_{4}\right)=0.15 \longrightarrow \\
\hline
\end{array} \\
& p\left(x_{5}\right)=0.10 \quad 1
\end{aligned}
$$

$$
L(C)=2.25 \text { bits } \quad \zeta=97.8 \%
$$

## Shannon and Fano Codes

- $\mathrm{p}\left(x_{1}\right)=.35 \mathrm{p}\left(x_{2}\right)=.22 \mathrm{p}\left(x_{3}\right)=.18 \mathrm{p}\left(x_{4}\right)=.15 \mathrm{p}\left(x_{5}\right)=.10$
- $\mathrm{H}(\mathrm{X})=2.2$ bits

| Shannon Code | Fano Code |
| :--- | :--- |
| $x_{1} 00$ | $x_{1} 00$ |
| $x_{2} 010$ | $x_{2} 01$ |
| $x_{3} 100$ | $x_{3} 10$ |
| $x_{4} 110$ | $x_{4} 110$ |
| $x_{5} 1110$ | $x_{5} 111$ |
| $\mathrm{~L}(\mathrm{C})=2.75$ bits | $\mathrm{L}(\mathrm{C})=2.25$ bits |
| $\zeta=80.4 \%$ | $\zeta=97.8 \%$ |

## Huffman Code for the English Alphabet



## Six Symbol Source

- $\mathrm{p}\left(x_{1}\right)=.4 \mathrm{p}\left(x_{2}\right)=.3 \mathrm{p}\left(x_{3}\right)=.1 \mathrm{p}\left(x_{4}\right)=.1 \mathrm{p}\left(x_{5}\right)=.06$ $p\left(x_{6}\right)=.04$
- $\mathrm{H}(\mathrm{X})=2.1435$ bits

First Code
$x_{1} 1$
$x_{2} 00$
$x_{3} 0100$
$x_{4} 0101$
$x_{5} 0110$
$x_{6} 0111$

Second Code
$x_{1} 1$
$x_{2} 00$
$x_{3} 010$
$x_{4} 0110$
$x_{5} 01110$
$x_{6} 01111$

## Second Five Symbol Source

- $\mathrm{p}\left(x_{1}\right)=.4 \mathrm{p}\left(x_{2}\right)=.2 \mathrm{p}\left(x_{3}\right)=.2 \mathrm{p}\left(x_{4}\right)=.1 \mathrm{p}\left(x_{5}\right)=.1$
- $H(X)=2.1219$ bits

$\mathrm{C}_{1}$

$\mathrm{C}_{2}$


## Second Five Symbol Source

|  | $C_{1}$ | $C_{2}$ |
| :--- | :--- | :--- |
| $x_{1}$ | 0 | 11 |
| $x_{2}$ | 10 | 01 |
| $x_{3}$ | 111 | 00 |
| $x_{4}$ | 1101 | 101 |
| $x_{5}$ | 1100 | 100 |

Which code is preferable?

## Second Five Symbol Source

- $\mathrm{p}\left(x_{1}\right)=.4 \mathrm{p}\left(x_{2}\right)=.2 \mathrm{p}\left(x_{3}\right)=.2 \mathrm{p}\left(x_{4}\right)=.1 \mathrm{p}\left(x_{5}\right)=.1$
- $\mathrm{H}(\mathrm{X})=2.122$ bits $\mathrm{L}(\mathrm{C})=2.2$ bits
- variance of code $\mathrm{C}_{1}$

$$
\sigma_{1}^{2}=0.4(1-2.2)^{2}+0.2(2-2.2)^{2}+0.2(3-2.2)^{2}+0.2(4-2.2)^{2}=1.36
$$

- variance of code $\mathrm{C}_{2}$

$$
\sigma_{2}^{2}=0.8(2-2.2)^{2}+0.2(3-2.2)^{2}=0.16
$$

## Midterm Test

- Friday, October 21, 2022
- During class time (11:30-12:20)
- Counts for $20 \%$ of the final mark
- Aids allowed
- One page of notes on $8.5^{\prime \prime} \times 11.5^{\prime \prime}$ paper (both sides)
- Calculator
- Cellphones, tablets, laptops, or any other electronic devices are NOT ALLOWED


## Nonbinary Codes

- The Huffman algorithm for nonbinary codes $(J>2)$ follows the same procedure as for binary codes except that $J$ symbols are combined at each stage.
- This requires that the number of symbols in the source X is $K^{\prime}=J+c(J-1), K^{\prime} \geq K$

$$
c=\left\lceil\frac{K-J}{J-1}\right\rceil
$$

## Nonbinary Example

- J=3 K=6
- $\mathrm{p}\left(x_{1}\right)=1 / 3 \mathrm{p}\left(x_{2}\right)=1 / 6 \mathrm{p}\left(x_{3}\right)=1 / 6 \mathrm{p}\left(x_{4}\right)=1 / 9 \mathrm{p}\left(x_{5}\right)=1 / 9$ $p\left(x_{6}\right)=1 / 9$
- $H(X)=1.544$ trits


## Nonbinary Example

- J=3 K=6
- $c=\left\lceil\frac{K-J}{J-1}\right\rceil=2$ so $K^{\prime}=J+c(J-1)=3+2(2)=7$
- Add an extra symbol $x_{7}$ with $p\left(x_{7}\right)=0$
- $\mathrm{p}\left(x_{1}\right)=1 / 3 \mathrm{p}\left(x_{2}\right)=1 / 6 \mathrm{p}\left(x_{3}\right)=1 / 6 \mathrm{p}\left(x_{4}\right)=1 / 9 \mathrm{p}\left(x_{5}\right)=1 / 9$ $p\left(x_{6}\right)=1 / 9 p\left(x_{7}\right)=0$


## Nonbinary Example with an Extra Symbol

| $x_{1}$ | 1 |
| ---: | :--- |
| $x_{2}$ | 00 |
| $x_{3}$ | 01 |
| $x_{4}$ | 02 |
| $x_{5}$ | 20 |
| $x_{6}$ | 21 |
| $x_{7}$ | 22 |
| $\mathrm{~L}(\mathrm{C})=1.667$ trits |  |
| $\mathrm{H}(\mathrm{X})=1.544$ trits |  |
| $\zeta=92.6 \%$ |  |

## Nonbinary Example with no Extra Symbol

$$
\begin{array}{ll}
x_{1} & 1 \\
x_{2} & 01 \\
x_{3} & 02 \\
x_{4} & 000 \\
x_{5} & 001 \\
x_{6} & 002 \\
\\
\mathrm{~L}(\mathrm{C})=2.0 \text { trits } \\
\mathrm{H}(\mathrm{X})=1.544 \text { trits } \\
\zeta=77.2 \%
\end{array}
$$

## Codes for Different Output Alphabets

- $K=13$
- $\mathrm{p}\left(x_{1}\right)=1 / 4 \mathrm{p}\left(x_{2}\right)=1 / 4$

$$
\begin{aligned}
& \mathrm{p}\left(x_{3}\right)=1 / 16 \quad \mathrm{p}\left(x_{4}\right)=1 / 16 \quad \mathrm{p}\left(x_{5}\right)=1 / 16 \quad \mathrm{p}\left(x_{6}\right)=1 / 16 \\
& \mathrm{p}\left(x_{7}\right)=1 / 16 \quad \mathrm{p}\left(x_{8}\right)=1 / 16 \quad \mathrm{p}\left(x_{9}\right)=1 / 16 \\
& \mathrm{p}\left(x_{10}\right)=1 / 64 \quad \mathrm{p}\left(x_{11}\right)=1 / 64 \quad \mathrm{p}\left(x_{12}\right)=1 / 64 \quad \mathrm{p}\left(x_{13}\right)=1 / 64
\end{aligned}
$$

- J=2 to 13


## Codes for Different Output Alphabets

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathrm{p}\left(x_{i}\right)$ | $\mathrm{x}_{\mathrm{i}}$ | 13 | 12 | 11 | 10 | 9 | 8 | 7 | 6 | 5 | 4 | 3 | 2 |
| $\frac{1}{4}$ | $\mathrm{x}_{1}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 00 |
| $\frac{1}{4}$ | $\mathrm{x}_{2}$ | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 01 |
| $\frac{1}{16}$ | $\mathrm{x}_{3}$ | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 20 | 200 | 1000 |
| $\frac{1}{16}$ | $\mathrm{x}_{4}$ | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 30 | 21 | 201 | 1001 |
| $\frac{1}{16}$ | $\mathrm{x}_{5}$ | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 31 | 22 | 202 | 1010 |
| $\frac{1}{16}$ | $\mathrm{x}_{6}$ | 5 | 5 | 5 | 5 | 5 | 5 | 5 | 50 | 32 | 23 | 210 | 1011 |
| $\frac{1}{16}$ | $\mathrm{x}_{7}$ | 6 | 6 | 6 | 6 | 6 | 6 | 60 | 51 | 33 | 30 | 211 | 1100 |
| $\frac{1}{16}$ | $\mathrm{x}_{8}$ | 7 | 7 | 7 | 7 | 7 | 70 | 61 | 52 | 34 | 31 | 212 | 1101 |
| $\frac{1}{16}$ | $\mathrm{x}_{9}$ | 8 | 8 | 8 | 8 | 80 | 71 | 62 | 53 | 40 | 32 | 220 | 1110 |
| $\frac{1}{64}$ | $\mathrm{x}_{10}$ | 9 | 9 | 9 | 90 | 81 | 72 | 63 | 54 | 41 | 330 | 221 | 111100 |
| $\frac{1}{64}$ | $\mathrm{x}_{11}$ | A | A | A 0 | 91 | 82 | 73 | 64 | 550 | 42 | 331 | 2220 | 111101 |
| $\frac{1}{64}$ | $\mathrm{x}_{12}$ | B | B 0 | A 1 | 92 | 83 | 74 | 65 | 551 | 43 | 332 | 2221 | 111110 |
| $\frac{1}{64}$ | $\mathrm{x}_{13}$ | C | B 1 | A 2 | 93 | 84 | 75 | 66 | 552 | 44 | 333 | 2222 | 111111 |
| Average |  |  |  |  |  |  |  |  |  |  |  |  |  |
| length | $\mathrm{L}(\mathrm{C})$ | 1 | $\frac{33}{32}$ | $\frac{67}{64}$ | $\frac{17}{16}$ | $\frac{9}{8}$ | $\frac{19}{1} 9$ | $\frac{5}{4}$ | $\frac{87}{64}$ | $\frac{23}{16}$ | $\frac{25}{16}$ | $\frac{131}{64}$ | $\frac{25}{8}$ |

## Codes for Different Output Alphabets

| $J$ | $L(C)$ |
| :--- | :--- |
| 2 | 3.125 |
| 3 | 2.047 |
| 4 | 1.563 |
| 5 | 1.438 |
| 6 | 1.359 |
| 7 | 1.250 |
| 8 | 1.188 |
| 9 | 1.125 |
| 10 | 1.063 |
| 11 | 1.047 |
| 12 | 1.031 |
| 13 | 1.000 |

## Codes for Different Output Alphabets

| $J$ | $\mathrm{~L}(\mathrm{C})$ | $\zeta$ |
| :--- | :--- | :---: |
| 2 | 3.125 | 1.000 |
| 3 | 2.047 | 0.963 |
| 4 | 1.563 | 1.000 |
| 5 | 1.438 | 0.936 |
| 6 | 1.359 | 0.889 |
| 7 | 1.250 | 0.891 |
| 8 | 1.188 | 0.877 |
| 9 | 1.125 | 0.876 |
| 10 | 1.063 | 0.885 |
| 11 | 1.047 | 0.863 |
| 12 | 1.031 | 0.845 |
| 13 | 1.000 | 0.844 |

## Code Efficiency



## Binary and Quaternary Codes

| $x_{1}$ | 00 | 0 |
| :--- | :--- | :--- |
| $x_{2}$ | 01 | 1 |
| $x_{3}$ | 1000 | 20 |
| $x_{4}$ | 1001 | 21 |
| $x_{5}$ | 1010 | 22 |
| $x_{6}$ | 1011 | 23 |
| $x_{7}$ | 1100 | 30 |
| $x_{8}$ | 1101 | 31 |
| $x_{9}$ | 1110 | 32 |
| $x_{10}$ | 111100 | 330 |
| $x_{11}$ | 111101 | 331 |
| $x_{12}$ | 111110 | 332 |
| $x_{13}$ | 111111 | 333 |

## Huffman Codes

- Symbol probabilities must be known a priori
- The redundancy of the code

$$
\mathrm{L}(\mathrm{C})-\mathrm{H}(\mathrm{X})(\text { for } J=b)
$$

is typically nonzero

- Error propagation can occur
- Codewords have variable length


## Variable to Fixed Length Codes



## Variable to Fixed Length Codes

- Two questions:

1. What is the best mapping from sourcewords to codewords?
2. How to ensure unique encodability?

## Average Bit Rate

$A B R=\frac{\text { average codeword length }}{\text { average sourceword length }}$

$$
\begin{aligned}
& =\frac{L}{\mathrm{~L}(\mathrm{~S})} \\
& \mathrm{L}(\mathrm{~S})=\sum_{i=1}^{M} \mathrm{p}\left(s_{i}\right) m_{i}
\end{aligned}
$$

$M$ - number of sourcewords
$s_{i}$ - sourceword $i$
$m_{i}$ - length of sourceword $i$
$\mathrm{p}\left(s_{i}\right)$ - probability of sourceword $i$

## Average Bit Rate

- For fixed to variable length codes

$$
\begin{aligned}
\mathrm{ABR} & =\frac{\text { average codeword length }}{\text { average sourceword length }} \\
& =\frac{\mathrm{L}(\mathrm{C})}{1} \text { or } \frac{\mathrm{L}_{N}(\mathrm{C})}{N}
\end{aligned}
$$

- Design criterion: minimize $\mathrm{L}(\mathrm{C})$ or $\mathrm{L}_{N}(\mathrm{C})$
- minimize the $A B R$


## Variable to Fixed Length Codes

- Design criterion: minimize the Average Bit Rate

$$
A B R=\frac{L}{L(S)}
$$

- $A B R \geq H(X) \quad(L(C) \geq H(X)$ for fixed to variable length codes)
- $L(S)$ should be as large as possible so that the $A B R$ is close to $H(X)$


## Code Efficiency

- Fixed to variable length codes

$$
\zeta=\frac{H(X)}{L(C)} \leq 1
$$

- Variable to fixed length codes

$$
\zeta=\frac{H(X)}{A B R} \leq 1
$$

## Binary Tunstall Code $K=3, L=3$

Let $x_{1}=\mathrm{a}, x_{2}=\mathrm{b}$ and $x_{3}=\mathrm{c}$

| $a$ | 000 |
| :--- | :--- |
| $b$ | 001 |
| $c a$ | 010 |
| $c b$ | 011 |
| $c c a$ | 100 |
| $c c b$ | 101 |
| $c c c$ | 110 |



Unused codeword is 111

## Tunstall Codes

Tunstall codes must satisfy the Kraft inequality

$$
\sum_{i=1}^{M} K^{-m_{i}} \leq 1
$$

$M$ - number of sourcewords
$K$ - source alphabet size
$m_{i}$ - length of sourceword $i$

## Binary Tunstall Code Construction

- Source X with $K$ symbols
- Choose a codeword length $L$ where $2^{L}>K$

1. Form a tree with a root and $K$ branches labelled with the symbols
2. If the number of leaves is greater than $2^{L}-(K-1)$, go to Step 4
3. Find the leaf with the highest probability and extend it to have $K$ branches, go to Step 2
4. Assign codewords to the leaves

$$
\begin{aligned}
& K=3, L=3 \\
& p(a)=.7, p(b)=.2, p(c)=.1
\end{aligned}
$$



$\mathrm{ABR}=3 /[3(.343+.098+.049)+2(.14+.07)+.2+.1]$
$=1.37$ bits per symbol
$H(X)=1.16$ bits per symbol
$\zeta=H(X) / A B R=84.7 \%$

## The Codewords

aaa 000

$$
\text { aab } 001
$$

$$
\text { aac } 010
$$

$$
\text { ab } 011
$$

$$
\text { ac } \quad 100
$$

$$
\text { b } \quad 101
$$

$$
\text { c } \quad 110
$$

- What if a or aa is left at the end of the sequence of source symbols?
- there are no corresponding codewords
- Solution: use the unused codeword 111
- a 1110 or 111000
- aa 1111 or 111001


## Tunstall Codes for a Binary Source

- $L=3, K=2, J=2, \mathrm{p}\left(x_{1}\right)=0.7, \mathrm{p}\left(x_{2}\right)=0.3$
- $J^{L}=8$

Seven sourcewords Eight sourcewords Codewords
$x_{1} x_{1} x_{1} x_{1} x_{1}$
$x_{1} x_{1} x_{1} x_{1} x_{2}$
$x_{1} x_{1} x_{1} x_{2}$
$x_{1} x_{1} x_{2}$
$x_{1} x_{2}$
$x_{2} x_{1}$
$x_{2} x_{2}$
$x_{1} x_{1} x_{1} x_{1} x_{1}$
$x_{1} x_{1} x_{1} x_{1} x_{2}$
$x_{1} x_{1} x_{1} x_{2}$
$x_{1} x_{1} x_{2}$
$x_{1} x_{2} x_{1}$
$x_{1} x_{2} x_{2}$
$x_{2} x_{1}$
$x_{2} x_{2}$

000
001
010
011
100
101
110
111

- The end of the sequence of source symbols can be

$$
x_{1}, x_{2}, x_{1} x_{1}, x_{1} x_{1} x_{1}, \text { or } x_{1} x_{1} x_{1} x_{1}
$$

- With $M=7$ sourcewords the codeword 111 is unused so they can be assigned as follows
$-x_{1} \quad 111000$
$-x_{2} \quad 111001$
$-x_{1} x_{1} \quad 111010$
- $x_{1} x_{1} x_{1} 111011$
$-x_{1} x_{1} x_{1} x_{1} 111100$


## Huffman Code for a Binary Source

- $N=3, K=2, \mathrm{p}\left(x_{1}\right)=0.7, \mathrm{p}\left(x_{2}\right)=0.3$
- Eight sourcewords
- $\mathrm{A}=x_{1} x_{1} x_{1} \quad \mathrm{p}(\mathrm{A})=.34300$
- $\mathrm{B}=x_{1} x_{1} x_{2} \quad \mathrm{p}(\mathrm{B})=.14711$
- $\mathrm{C}=x_{1} x_{2} x_{1} \quad \mathrm{p}(\mathrm{C})=.147010$
- $\mathrm{D}=x_{2} x_{1} x_{1} \quad \mathrm{p}(\mathrm{D})=.147011$
- $\mathrm{E}=x_{2} x_{2} x_{1} \quad \mathrm{p}(\mathrm{E})=.0631000$
- $\mathrm{F}=x_{2} x_{1} x_{2} \quad \mathrm{p}(\mathrm{F})=.0631001$
- $\mathrm{G}=x_{1} x_{2} x_{2} \mathrm{p}(\mathrm{G})=.0631010$
- $\mathrm{H}=x_{2} x_{2} x_{2} \mathrm{p}(\mathrm{H})=.0271011$


## Code Comparison

- $\mathrm{H}(\mathrm{X})=.8813$
- Tunstall Code $L=3$ (7 codewords)

$$
A B R=.9762 \quad \zeta=90.3 \%
$$

- Tunstall Code $L=3$ (8 codewords)

$$
A B R=.9138 \quad \zeta=96.4 \%
$$

- Huffman Code $N=1$ (2 codewords)

$$
L(C)=1.0 \quad \zeta=88.1 \%
$$

- Huffman Code $N=3$ (8 codewords)

$$
\mathrm{L}_{3}(\mathrm{C}) / 3=.9087 \quad \zeta=97.0 \%
$$

## Error Propagation

- Received Huffman codeword sequence


## 001100110011 ...

A B A B A B ...

- Sequence with one bit error

$$
\begin{array}{ccc}
011 & 1001 & 1001 \\
\text { D } & \text { F } & \text { F }
\end{array}
$$

## Error Propagation

- The corresponding Tunstall codeword sequence

$$
\begin{aligned}
& 000110001000110001 \ldots \\
& x_{1} x_{1} x_{1} x_{1} x_{1} x_{2} x_{1} x_{1} x_{1} x_{1} x_{1} x_{2} \ldots
\end{aligned}
$$

- Sequence with one bit error

$$
\begin{aligned}
& 010110001000110001 \ldots \\
& x_{1} x_{1} x_{1} x_{2} x_{2} x_{1} x_{1} x_{1} x_{1} x_{1} x_{2} \ldots
\end{aligned}
$$

