ECE 515 Information Theory

Distortionless Source Coding 1

Source Coding



Source Coding

Two requirements

- 1. The source sequence can be recovered from the encoded sequence with no ambiguity.
- 2. The average number of output symbols per source symbol is as small as possible.





- Let K = 4, $X = \{x_1, x_2, x_3, x_4\}$, J = 2
- Prefix code (also prefix-free or instantaneous)
 C₁={0,10,110,111}
- Example sequence of codewords: 001110100110
- Decodes to:

0 0 111 0 10 0 110

 $x_1 x_1 \ x_4 \ x_1 \ x_2 \ x_1 \ x_3$

Instantaneous Codes

• Definition:

A uniquely decodable code is said to be instantaneous if it is possible to decode each codeword in a sequence without reference to succeeding codewords.

A necessary and sufficient condition for a code to be instantaneous is that no codeword is a **prefix** of some other codeword.

- Uniquely decodable code (which is not prefix)
 C₂={0,01,011,0111}
- Example sequence of codewords: 001110100110
- Decodes to:

0 0111 01 0 011 0 v v v v v v

 $x_1 \quad x_4 \quad x_2 \quad x_1 \quad x_3 \quad x_1$

Non-singular code (which is not uniquely decodable)

 $C_3 = \{0, 1, 00, 11\}$

- Example sequence of codewords: 001110100110
- Decodes to:

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• Singular code

 $C_4 = \{0, 10, 11, 10\}$

- Example sequence of codewords: 001110100110
- Decodes to:

0 0 11 10 10 0 11 0 $x_1 x_1 x_3 x_2 x_2 x_1 x_3 x_1$ $x_1 x_1 x_3 x_4 x_2 x_1 x_3 x_1$





Average Codeword Length

$$L(C) = \sum_{k=1}^{K} p(x_k) I_k$$

Two Binary Prefix Codes

- Five source symbols: x_1, x_2, x_3, x_4, x_5
- K = 5, J = 2

- c₁ = 0, c₂ = 10, c₃ = 110, c₄ = 1110, c₅ = 1111
 codeword lengths 1,2,3,4,4
- c₁ = 00, c₂ = 01, c₃ = 10, c₄ = 110, c₅ = 111
 codeword lengths 2,2,2,3,3

Kraft Inequality for Prefix Codes



Code Tree



Five Binary Codes

Source symbols	Code A	Code B	Code C	Code D	Code E
x ₁	00	0	0	0	0
x ₂	01	100	10	100	10
x ₃	10	110	110	110	110
x ₄	11	`111	111	11	11

Ternary Code Example

- Ten source symbols: $x_1, x_2, ..., x_9, x_{10}$
- K = 10, J = 3

- $I_k = 1, 2, 2, 2, 2, 3, 3, 3, 3$
- $I_k = 1, 2, 2, 2, 2, 3, 3, 3$
- $I_k = 1, 2, 2, 2, 2, 3, 3, 4, 4$

Average Codeword Length Bound

$$L(C) \ge \frac{H(X)}{\log_b J}$$



Four Symbol Source

Information Source X

- $p(x_1) = 1/2 \quad p(x_2) = 1/4 \quad p(x_3) = p(x_4) = 1/8$
- H(X) = 1.75 bits
 - x_1 0 x_1 00 x_2 10 x_2 01 x_3 110 x_3 10 x_4 111 x_4 11L(C) = 1.75 bitsL(C) = 2 bits

Code Efficiency

$$\zeta = \frac{H(X)}{L(C)\log_b J} \leq 1$$

- First code $\zeta = 1.75/1.75 = 100\%$
- Second code $\zeta = 1.75/2.0 = 87.5\%$

Compact Codes

A code C is called **compact** for a source X if its average codeword length L(C) is less than or equal to the average length of all other uniquely decodable codes for the same source and alphabet Y size J.

Codeword Lengths

$$H(X) = -\sum_{k=1}^{\kappa} p(x_k) \log p(x_k)$$

$$L(C) = \sum_{k=1}^{K} p(x_k) I_k$$

Upper and Lower Bounds for a Compact Code

$$\frac{H(X)}{\log_b J} \leq L(C) < \frac{H(X)}{\log_b J} + 1$$

if b = J

$H(X) \leq L(C) < H(X) + 1$

The Shannon Algorithm

- Order the symbols from largest to smallest probability
- Choose the codeword lengths according to $I_k = \left[-\log_J p(x_k) \right]$
- Construct the codewords according to the cumulative probability P_k

$$P_k = \sum_{i=1}^{k-1} p(x_i)$$

expressed as a base J number

Example

- K = 10, J = 2
- $p(x_1) = p(x_2) = 1/4$
- $p(x_3) = p(x_4) = 1/8$
- $p(x_5) = p(x_6) = 1/16$
- $p(x_7) = p(x_8) = p(x_9) = p(x_{10}) = 1/32$

Converting Decimal Fractions to Binary

- To convert a fraction to binary, multiply it by 2
- If the integer part is 1, the binary digit is 1, otherwise it is 0
- Delete the integer part
- Continue multiplying by 2 and obtaining binary digits until the resulting fractional part is 0 or the required number of binary digits have been obtained

Example

- Convert $5/8 = 0.625_{10}$ to binary
- 2 × 0.625 = 1.25 = 1 + 0.25 MSB
- $2 \times 0.250 = 0.50 = 0 + 0.50$
- $2 \times 0.500 = 1.00 = 1 + 0.00$ LSB
- $0.625_{10} = 0.101_2$

Example				
Symbol	$p(x_k)$	P_k	l_k	Codeword
x_1	1/4	0	2	00
x_2	1/4	1/4	2	01
x_3	1/8	1/2	3	100
x_4	1/8	5/8	3	101
x_5	1/16	3/4	4	1100
x_6	1/16	13/16	4	1101
x_7	1/32	7/8	5	11100
x_8	1/32	29/32	5	11101
x_9	1/32	15/16	5	11110
x_{10}	1/32	31/32	5	11111

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Shannon Algorithm

- $p(x_1) = .4 \quad p(x_2) = .3 \quad p(x_3) = .2 \quad p(x_4) = .1$
- H(X) = 1.85 bits

Shannon CodeAlternate Code $x_1 0$ $x_1 0$ $x_2 01$ $x_2 10$ $x_3 101$ $x_3 110$ $x_4 1110$ $x_4 111$ L(C) = 2.4 bitsL(C) = 1.9 bits $\zeta = 77.1\%$ $\zeta = 97.4\%$

Shannon's Noiseless Coding Theorem

$$\frac{NH(X)}{\log_b J} \le L_N(C) < \frac{NH(X)}{\log_b J} + 1$$



Shannon's Noiseless Coding Theorem

If b = J

$NH(X) \leq L_N(C) < NH(X) + 1$

$$H(X) \leq \frac{L_N(C)}{N} < H(X) + \frac{1}{N}$$

Robert M. Fano (1917-2016)





The Fano Algorithm

- Arrange the symbols in order of decreasing probability
- Divide the symbols into J approximately equally probable groups
- Each group receives one of the J code symbols as the first codeword symbol
- This division process is repeated within the groups as many times as possible

Example

Symbol	$p(x_k)$					
x_1	1/4	0	0			
x_2	1/4	0	1			
x_3	1/8	1	0	0	_	
x_4	1/8	1	0	1	_	
x_5	1/16	1	1	0	0	
x_6	1/16	1	1	0	1	-
x_7	1/32	1	1	1	0	0
x_8	1/32	1	1	1	0	1
x_9	1/32	1	1	1	1	0
x_{10}	1/32	1	1	1	1	1

Shannon Algorithm vs Fano Algorithm

- $p(x_1) = .4 p(x_2) = .3 p(x_3) = .2 p(x_4) = .1$
- H(X) = 1.85 bits

Shannon Code	Fano Code		
<i>x</i> ₁ 00	<i>x</i> ₁ 0		
x ₂ 01	x ₂ 10		
<i>x</i> ₃ 101	<i>x</i> ₃ 110		
<i>x</i> ₄ 1110	<i>x</i> ₄ 111		
L(C) = 2.4 bits	L(C) = 1.9 bits		
ζ = 77.1%	ζ = 97.4%		
Upper Bound for the Fano Code J∈{2,3}

$$L(C) \leq \frac{H(X)}{\log_b J} + 1 - p_{\min}$$

where p_{\min} is the smallest nonzero symbol probability

if
$$b = J$$

L(C) \leq H(X) + 1 - p_{min}

David A. Huffman (1925-1999)





- ``It was the most singular moment in my life. There was the absolute lightning of sudden realization."
 - David Huffman

- ``Is that all there is to it!"
 - Robert Fano

The Binary Huffman Algorithm

- 1. Arrange the *K* symbols of the source X in order of decreasing probability.
- 2. Assign a 1 to the last digit of the *K*th codeword c_{K} and a 0 to the last digit of the (*K*-1)th codeword c_{K-1} . Note that this assignment is arbitrary.
- 3. Form a new source X' with $x'_{k} = x_{k}$, k = 1, ..., K-2, and $x'_{K-1} = x_{K-1} \cup x_{K}$ $p(x'_{K-1}) = p(x_{K-1}) + p(x_{K})$
- 4. Set K = K-1.
- 5. Repeat Steps 1 to 4 until all symbols have been combined.

To obtain the codewords, trace back to the original symbols.

Five Symbol Source

- $p(x_1)=.35 p(x_2)=.22 p(x_3)=.18 p(x_4)=.15 p(x_5)=.10$
- H(X) = 2.2 bits



L(C) = 2.25 bits $\zeta = 97.8\%$

Shannon and Fano Codes

- $p(x_1)=.35 p(x_2)=.22 p(x_3)=.18 p(x_4)=.15 p(x_5)=.10$
- H(X) = 2.2 bits

Shannon Code	Fano Code
<i>x</i> ₁ 00	<i>x</i> ₁ 00
<i>x</i> ₂ 010	x ₂ 01
x ₃ 100	x ₃ 10
<i>x</i> ₄ 110	<i>x</i> ₄ 110
<i>x</i> ₅ 1110	<i>x</i> ₅ 111
L(C) = 2.75 bits	L(C) = 2.25 bits
ζ = 80.4%	ζ = 97.8%

Huffman Code for the English Alphabet



Six Symbol Source

- $p(x_1)=.4 p(x_2)=.3 p(x_3)=.1 p(x_4)=.1 p(x_5)=.06$ $p(x_6)=.04$
- H(X) = 2.1435 bits
 - First Code x_1 1 x_2 00 x_3 0100 x_4 0101 x_5 0110 x_6 0111

Second Code x₁ 1 x₂ 00 x₃ 010 x₄ 0110 x₅ 01110 x₆ 01111

Second Five Symbol Source

- $p(x_1)=.4 p(x_2)=.2 p(x_3)=.2 p(x_4)=.1 p(x_5)=.1$
- H(X) = 2.1219 bits





Second Five Symbol Source

	C ₁	C_2
<i>x</i> ₁	0	11
<i>x</i> ₂	10	01
X 3	111	00
<i>X</i> ₄	1101	101
X 5	1100	100

Which code is preferable?

Second Five Symbol Source

- $p(x_1)=.4 p(x_2)=.2 p(x_3)=.2 p(x_4)=.1 p(x_5)=.1$
- H(X) = 2.122 bits L(C) = 2.2 bits
- variance of code C₁ $\sigma_1^2 = 0.4(1-2.2)^2+0.2(2-2.2)^2+0.2(3-2.2)^2+0.2(4-2.2)^2 = 1.36$
- variance of code C₂ $\sigma_2^2 = 0.8(2-2.2)^2 + 0.2(3-2.2)^2 = 0.16$

Midterm Test

- Friday, October 21, 2022
- During class time (11:30 12:20)
- Counts for 20% of the final mark
- Aids allowed
 - One page of notes on 8.5" × 11.5" paper (both sides)
 - Calculator
- Cellphones, tablets, laptops, or any other electronic devices are NOT ALLOWED

Nonbinary Codes

- The Huffman algorithm for nonbinary codes (J>2) follows the same procedure as for binary codes except that J symbols are combined at each stage.
- This requires that the number of symbols in the source X is K'=J+c(J-1), K'≥K

$$c = \left\lceil \frac{K - J}{J - 1} \right\rceil$$

Nonbinary Example

- *J*=3 *K*=6
- $p(x_1)=1/3 p(x_2)=1/6 p(x_3)=1/6 p(x_4)=1/9 p(x_5)=1/9 p(x_6)=1/9$
- H(X) = 1.544 trits

Nonbinary Example

• *J*=3 *K*=6

•
$$c = \left\lceil \frac{K - J}{J - 1} \right\rceil = 2 \text{ so } K' = J + c(J - 1) = 3 + 2(2) = 7$$

- Add an extra symbol x_7 with $p(x_7)=0$
- $p(x_1)=1/3 \quad p(x_2)=1/6 \quad p(x_3)=1/6 \quad p(x_4)=1/9 \quad p(x_5)=1/9$ $p(x_6)=1/9 \quad p(x_7)=0$

Nonbinary Example with an Extra Symbol

$$\begin{array}{cccc}
x_1 & 1 \\
x_2 & 00 \\
x_3 & 01 \\
x_4 & 02 \\
x_5 & 20 \\
x_6 & 21 \\
x_7 & 22
\end{array}$$

L(C) = 1.667 trits H(X) = 1.544 trits

 $\zeta = 92.6\%$

Nonbinary Example with no Extra Symbol

$$\begin{array}{rcrr}
x_1 & 1 \\
x_2 & 01 \\
x_3 & 02 \\
x_4 & 000 \\
x_5 & 001 \\
x_6 & 002
\end{array}$$

L(C) = 2.0 tritsH(X) = 1.544 trits $\zeta = 77.2\%$

- *K*=13
- $p(x_1)=1/4 \quad p(x_2)=1/4$ $p(x_3)=1/16 \quad p(x_4)=1/16 \quad p(x_5)=1/16 \quad p(x_6)=1/16$ $p(x_7)=1/16 \quad p(x_8)=1/16 \quad p(x_9)=1/16$ $p(x_{10})=1/64 \quad p(x_{11})=1/64 \quad p(x_{12})=1/64 \quad p(x_{13})=1/64$
- *J*=2 to 13

p(<i>x</i> _i)	x _i	13	12	11	10	9	8	7	6	5	4	3	2
$\frac{1}{4}$	x ₁	0	0	0	0	0	0	0	0	0	0	0	00
$\frac{1}{4}$	x ₂	1	1	1	1	1	1	1	1	1	1	1	01
$\frac{1}{16}$	x ₃	2	2	2	2	2	2	2	2	2	20	200	1000
16	x ₄	3	3	3	3	3	3	3	3	30	21	201	1001
	x ₅	4	4	4	4	4	4	4	4	31	22	202	1010
$\frac{1}{16}$	x ₆	5	5	5	5	5	5	5	50	32	23	210	1011
$\frac{1}{16}$	X ₇	6	6	6	6	6	6	60	51	33	30	211	1100
$\frac{1}{16}$	x ₈	7	7	7	7	7	70	61	52	34	31	212	1101
$\frac{1}{16}$	x ₉	8	8	8	8	80	71	62	53	40	32	220	1110
$\frac{1}{64}$	X_{10}	9	9	9	90	81	72	63	54	41	330	221	111100
$\frac{1}{64}$	x_{11}	Α	Α	A0	91	82	73	64	550	42	331	2220	111101
$\frac{1}{64}$	x ₁₂	В	B0	A1	92	83	74	65	551	43	332	2221	111110
$\frac{1}{64}$	x ₁₃	\mathbf{C}	B1	A2	93	84	75	66	552	44	333	2222	111111
Average													
length	L(C)	1	$\frac{33}{32}$	$\frac{67}{64}$	$\frac{17}{16}$	<u>9</u> 8	$\frac{19}{16}$	$\frac{5}{4}$	$\frac{87}{64}$	$\frac{23}{16}$	$\frac{25}{16}$	$\frac{131}{64}$	$\frac{25}{8}$

J

- J L(C)
- 2 3.125
- 3 2.047
- 4 1.563
- 5 1.438
- 6 1.359
- 7 1.250
- 8 1.188
- 9 1.125
- 10 1.063
- 11 1.047
- 12 1.031
- 13 1.000

J	L(C)	ζ
2	3.125	1.000
3	2.047	0.963
4	1.563	1.000
5	1.438	0.936
6	1.359	0.889
7	1.250	0.891
8	1.188	0.877
9	1.125	0.876
10	1.063	0.885
11	1.047	0.863
12	1.031	0.845
13	1.000	0.844



Binary and Quaternary Codes

<i>x</i> ₁	00	0
<i>x</i> ₂	01	1
<i>X</i> ₃	1000	20
<i>X</i> ₄	1001	21
<i>X</i> ₅	1010	22
<i>x</i> ₆	1011	23
<i>X</i> ₇	1100	30
<i>X</i> ₈	1101	31
<i>X</i> 9	1110	32
<i>x</i> ₁₀	111100	330
<i>x</i> ₁₁	111101	331
<i>x</i> ₁₂	111110	332
<i>x</i> ₁₃	111111	333

Huffman Codes

- Symbol probabilities must be known a priori
- The redundancy of the code
 L(C)-H(X) (for J=b)
 is typically nonzero
- Error propagation can occur
- Codewords have variable length

Variable to Fixed Length Codes



Variable to Fixed Length Codes

- Two questions:
 - 1. What is the best mapping from sourcewords to codewords?
 - 2. How to ensure unique encodability?

Average Bit Rate

$$ABR = \frac{\text{average codeword length}}{\text{average sourceword length}}$$
$$= \frac{L}{L(S)}$$
$$L(S) = \sum_{i=1}^{M} p(s_i) m_i$$

M - number of sourcewords

 s_i - sourceword *i*

m_i - length of sourceword *i*

p(s_i) - probability of sourceword i

Average Bit Rate

• For fixed to variable length codes

$$ABR = \frac{\text{average codeword length}}{\text{average sourceword length}}$$
$$= \frac{L(C)}{1} \text{ or } \frac{L_N(C)}{N}$$

Design criterion: minimize L(C) or L_N(C)
 – minimize the ABR

Variable to Fixed Length Codes

• Design criterion: minimize the Average Bit Rate

$$ABR = \frac{L}{L(S)}$$

- ABR ≥ H(X) (L(C) ≥ H(X) for fixed to variable length codes)
- L(S) should be as large as possible so that the ABR is close to H(X)

Code Efficiency

• Fixed to variable length codes

$$\zeta = \frac{H(X)}{L(C)} \leq 1$$

• Variable to fixed length codes

$$\zeta = \frac{H(X)}{ABR} \le 1$$

Binary Tunstall Code K=3, L=3

Let
$$x_1 = a$$
, $x_2 = b$ and $x_3 = c$

а	000
b	001
са	010
cb	011
сса	100
ccb	101
CCC	110



Unused codeword is 111

Tunstall Codes

Tunstall codes must satisfy the Kraft inequality

$$\sum_{i=1}^{M} K^{-m_i} \leq 1$$

M - number of sourcewords*K* - source alphabet size*m_i* - length of sourceword *i*

Binary Tunstall Code Construction

- Source X with K symbols
- Choose a codeword length L where $2^L > K$
- 1. Form a tree with a root and *K* branches labelled with the symbols
- If the number of leaves is greater than 2^L (K-1), go to Step 4
- 3. Find the leaf with the highest probability and extend it to have *K* branches, go to Step 2
- 4. Assign codewords to the leaves

K=3, *L*=3 p(a) = .7, p(b) = .2, p(c) = .1



ABR = 3/[3 (.343 + .098 + .049) + 2 (.14 + .07) + .2 + .1] = 1.37 bits per symbol H(X) = 1.16 bits per symbol ζ = H(X)/ABR = 84.7%


The Codewords

aaa	000
aab	001
aac	010
ab	011
ac	100
b	101
С	110

 What if a or aa is left at the end of the sequence of source symbols?

- there are no corresponding codewords

- Solution: use the unused codeword 111
 - a 1110 or 111 000
 - aa 1111 or 111 001

Tunstall Codes for a Binary Source

Seven sourcewords Codewords Eight sourcewords 000 $X_1 X_1 X_1 X_1 X_1$ $X_1 X_1 X_1 X_1 X_1$ 001 $X_1 X_1 X_1 X_1 X_2$ $X_1 X_1 X_1 X_1 X_2$ 010 $X_1 X_1 X_1 X_2$ $X_1 X_1 X_1 X_2$ 011 $X_1 X_1 X_2$ $X_1 X_1 X_2$ 100 $x_{1}x_{2}$ $X_1 X_2 X_1$ 101 $X_{2}X_{1}$ $X_1 X_2 X_2$ 110 $X_{2}X_{2}$ $X_{2}X_{1}$ 111 $X_{2}X_{2}$

The end of the sequence of source symbols can be

 $x_1, x_2, x_1x_1, x_1x_1x_1, \text{ or } x_1x_1x_1$

• With *M*=7 sourcewords the codeword 111 is unused so they can be assigned as follows

$$-x_1$$
 111 000

- $-x_2$ 111 001
- $-x_1x_1$ 111 010
- $-x_1x_1x_1$ 111 011

 $-x_1x_1x_1x_1$ 111 100

Huffman Code for a Binary Source

•
$$N = 3, K = 2, p(x_1) = 0.7, p(x_2) = 0.3$$

- Eight sourcewords
- $A = x_1 x_1 x_1$ p(A) = .343 00
- $B = x_1 x_1 x_2$ p(B) = .147 11
- $C = x_1 x_2 x_1$ p(C) = .147 010
- $D = x_2 x_1 x_1 p(D) = .147 011$
- $E = x_2 x_2 x_1$ p(E) = .063 1000
- $F = x_2 x_1 x_2$ p(F) = .063 1001
- $G = x_1 x_2 x_2 p(G) = .063 1010$
- $H = x_2 x_2 x_2 p(H) = .027 1011$

Code Comparison

- H(X) = .8813
- Tunstall Code L=3 (7 codewords) ABR = .9762 $\zeta = 90.3\%$
- Tunstall Code L=3 (8 codewords) ABR = .9138 $\zeta = 96.4\%$
- Huffman Code N=1 (2 codewords)
 L(C) = 1.0 ζ = 88.1%
- Huffman Code N=3 (8 codewords)
 L₃(C)/3 = .9087 ζ = 97.0%

Error Propagation

Received Huffman codeword sequence
 00 11 00 11 00 11 ...
 A B A B A B A B ...

Sequence with one bit error
 011 1001 1001 1 ...
 D F F ...

Error Propagation

 The corresponding Tunstall codeword sequence
 000 110 001 000 110 001 ...

 $X_1 X_1 X_1 X_1 X_1 X_2 X_1 X_1 X_1 X_1 X_1 X_2 \dots$

Sequence with one bit error
 010 110 001 000 110 001 ...

 $x_1 x_1 x_1 x_2 x_2 x_1 x_1 x_1 x_1 x_1 x_2 \dots$